

05-06-04

Attorney File No.

D/A1340

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of John M. Hammond et al.

Group Art Unit: 1723

Application No.: 10/600,213

Examiner: Unassigned

Filed: June 20, 2003

Confirmation No.: 5840

For: LIQUID DISPERSION FILTRATION AND DELIVERY APPARATUS AND METHOD

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

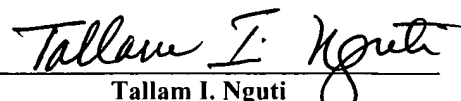
Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the information attached regarding a discovered, filed application alleged to be related. It is respectfully requested that the information be expressly considered during the prosecution of this application.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), **OR (b) before the mailing date of a first Office Action on the merits.** *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND after** the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**

- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 4. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection or Notice of Allowance, but before the payment of the Issue Fee. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p) (*A copy of this paper is attached*); **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- ☐ 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.

  
Tallam I. Nguti

Signature under 37 CFR 1.33 & 34

Registration No. 32,791

Telephone No. 585-423-2477

Date May 04, 2004

Customer No.: 25453



Attorney Docket: D/A 1340

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of John M. Hammond et al.

Group Art Unit: 1723

Application No.: 10/600,213

Examiner: Unassigned

Filed: June 20, 2003

Confirmation No.: 5840

For: LIQUID DISPERSION FILTRATION AND DELIVERY APPARATUS AND METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**RELATED US APPLICATION INFORMATION**

We have become aware of information that indicates that in 2003, named co-inventor John M. Hammond filed a patent application believed to be related to the above subject application. The information we have on this John M. Hammond related US Application is as follows:

**U.S.S.N. 10/378,473,**

**Title: "Fluid Separation and Delivery Apparatus and Method,"**

**Filed: 03/03/2003.**